

02-13-02

A

Practitioner's Docket No. NDRLASERUS

PATENT

Preliminary Classification:  
Proposed Class: 372  
Subclass: 92

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

**CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10\***  
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I hereby certify that, on the date shown below, this correspondence is being:

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Date: 2/11/02

Signature

Thomas P. O'Connell  
(type or print name of person certifying)

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"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will *not* be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(New Application Transmittal--page 1)

Inventor(s): Victor Lazarev; Zhijiang Hang

For (title): HIGH-POWER SURFACE EMITTING LASER AND FABRICATION METHOD  
THEREOF

**1. Type of Application**

This transmittal is for an original (nonprovisional) application.

**2. Papers Enclosed**

**A.** Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design)  
Application

17 Page(s) of Specification

9 Page(s) of Claims

7 Sheet(s) of Drawing(s)--Formal

**B.** Other Papers Enclosed

1 Page(s) of abstract

**3. Declaration or Oath**

Not Enclosed.

Application is made by a person authorized under 37 C.F.R. Section 1.41(c) on  
behalf of all of the above-named inventors.

**4. Inventorship Statement**

The inventorship for all the claims in this application is the same.

**5. Language**

English

**6. Fee Calculation (37 C.F.R. Section 1.16)**

Regular Application

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$740.00
Total Claims (37 CFR 1.16(c))	39	- 20 =	19 x	\$18.00	\$342.00
Independent Claims (37 CFR 1.16(b))	5	- 3 =	2 x	\$84.00	\$168.00
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$270.00	\$0.00
Filing Fee Calculation					\$1,250.00

**7. Small Entity Statement(s)**

Statement that this is a filing by a small entity under 37 C.F.R. Sections 1.9 and 1.27 is attached.

Filing Fee Calculation (50% of above) \$625.00

**8. Fee Payment Being Made at This Time**

Enclosed

Filing Fee \$625.00

**Total Fees Enclosed \$625.00**

(New Application Transmittal--page 3)

9. **Method of Payment of Fees**

Check in the amount of \$625.00 is attached.

10. **Instructions as to Overpayment**

Refund.

**ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF  
PRIOR U.S. APPLICATION CLAIMED**

11. **Relate Back**

Amend the specification by inserting, before the first line, the following sentence:

A. **35 U.S.C. Section 119(e)**

"This application claims the benefit of U.S. Provisional Application No.:

**APPLICATION NO.**

**FILING DATE**

60/267,905

02/10/2001

12. **Further Inventorship Statement Where Benefit of Prior Application(s) Claimed**

a. This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventors in this application are the same.

Date: 02/11/02

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